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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/520,621	08/24/2005	Thomas Rueckle	263675US0PCT	2551
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OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET ALEXANDRIA, VA 22314			EXAMINER KOSACK, JOSEPH R	
			ART UNIT 1626	PAPER NUMBER
			NOTIFICATION DATE 09/03/2009	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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<b>Office Action Summary</b>	<b>Application No.</b> 10/520,621	<b>Applicant(s)</b> RUECKLE ET AL.	
	<b>Examiner</b> Joseph R. Kosack	<b>Art Unit</b> 1626	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 04 May 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,2,6-16,19-29 and 35-37 is/are pending in the application.
- 4a) Of the above claim(s) 7,10-16,20-23 and 36 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,6,8,9,28 and 29 is/are rejected.
- 7) ☒ Claim(s) 19,24-27,35 and 37 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

Claims 1, 2, 6-16, 19-29, and 35-37 are pending in the instant application.

#### ***Amendments***

The amendment filed on May 4, 2009 has been acknowledged and has been entered into the instant application file.

#### ***Previous Claim Objections***

Claims 1, 2, 4-6, 8, 9, 17-19, 24-29, 31, 32, 35, and 37 were previously objected to for containing elected and non-elected subject matter. As the elected species is still unpatentable and there is additional subject matter in the claims, the objection is maintained except for those claims expressly cancelled by the Applicant.

#### ***Previous Claim Rejections - 35 USC § 112***

Claims 1, 2, 4-6, 8, 9, 17, 18, 28, 29, 31, and 32 were previously rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for the treatment of inflammation, does not reasonably provide enablement for treatment of any other disease.

The Applicant has amended the claims to limit the claims to treating an inflammatory disease. However, the treatment of an inflammatory disease does not necessarily mean that the compound will treat the disease. An inflammatory disease is any disease that can have inflammation. However, not every person that has a disease that can have inflammation will get inflammation. In those cases, the compound of formula I will not be able to treat the disease. Therefore, the rejection must currently be maintained except for those claims expressly cancelled by the Applicant. It is

Art Unit: 1626

suggested that the Applicant amend the claims to read "A method of treatment of inflammation" with dependent claims detailing what diseases the inflammation is caused by.

### ***Claim Objections***

Claims 1, 2, 6, 8, 9, 19, 24-29, 35, and 37 are objected to for containing elected and non-elected subject matter. The elected subject matter has been identified supra. Applicant is advised that additional subject matter may be searched after all rejections are removed from the elected species.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1, 2, 6, 8, 9, 28, and 29 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for the treatment of inflammation, does not reasonably provide enablement for treatment of any inflammatory diseases in general. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to use the invention commensurate in scope with these claims.

In *In re Wands*, 8 USPQ2d 1400 (1988), factors to be considered in determining whether a disclosure meets the enablement requirement of 35 U.S.C. § 112, first paragraph, have been described. They are:

1. the nature of the invention,
2. the state of the prior art,

Art Unit: 1626

3. the predictability or lack thereof in the art,
4. the amount of direction or guidance present,
5. the presence or absence of working examples,
6. the breadth of the claims,
7. the quantity of experimentation needed, and
8. the level of the skill in the art.

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*The Nature of the Invention*

The nature of the invention is the treatment of any inflammatory disease.

*The State of the Prior Art and the Predictability or Lack Thereof in the Art*

The state of the prior art is that it involves screening *in vitro* and *in vivo* to determine which compounds exhibit the desired pharmacological activities (i.e. what compounds can treat which specific disease). There is no absolute predictability even in view of the seemingly high level of skill in the art. The existence of these obstacles establishes that the contemporary knowledge in the art would prevent one of ordinary skill in the art from accepting any therapeutic regimen on its face.

The instant claimed invention is highly unpredictable as discussed below:

It is noted that the pharmaceutical art is unpredictable, requiring each embodiment to be individually assessed for physiological activity. In *re Fisher*, 427 F.2d 833, 166 USPQ 18 (CCPA 1970) indicates that the more unpredictable an area is, the more specific enablement is necessary in order to satisfy the statute. In the absence of clinical data to enable the invention, there needs to be a clear cause and effect relationship between inhibiting an enzyme and the effect that that inhibition causes. Applicant, while alleging that the compound can treat many widely varied diseases, fails to show that using the compound to inhibit PI3K would actually treat or prevent the diseases. While kinases

Art Unit: 1626

have become more palatable targets of recent pharmaceutical research, there is a lot about the function of kinases that is unknown. In fact, Applicant states on page 7 of the disclosure that "it remains unclear which particular PI3K isoform or isoforms are involved in these phenomena." Applicant states that the intended target of the compounds is PI3K-gamma, a particular isoform of PI3K. Has it been shown in the art that inhibiting this particular isoform would treat or prevent the claimed diseases? Will inhibition have any effect on the human body? The documents cited by Applicant in the IDS of March 15, 2005 along with the disclosure do not shed much light on these questions. The IDS of February 19, 2008 does show support for inhibitors of PI3K-gamma to treat inflammation. The person of skill who would practice this invention must know the answers or else they would require extensive undue experimentation to work out the proper doses to treat the claimed diseases.

Hence, in the absence of a showing of correlation in the art between all the diseases claimed as capable of treatment by antagonizing PI3K-gamma, one of skill in the art is unable to fully predict possible results from the administration of the compound of claim 1 due to the unpredictability of the role of antagonizing PI3K-gamma.

*The Amount of Direction or Guidance Present and the Presence or Absence of Working*

*Examples*

The specification provides an assay for PI3K-gamma activity for particular compounds of the invention on page 121 of the disclosure, but do not show any evidence of the effectiveness at treating diseases.

*The Breadth of the Claims*

The breadth of the claims is the treatment of various inflammatory diseases, whether or not they are mediated by PI3K-gamma inhibition.

*The Quantity of Experimentation Needed*

The quantity of experimentation needed is undue experimentation. One of skill in the art would need to determine the proper dose to deliver to a patient to treat a claimed disease with no knowledge as to how inhibiting PI3K-gamma actually treats the disease.

*The Level of Skill in the Art*

The level of skill in the art is high. However, due to the unpredictability in the pharmaceutical art, it is noted that each embodiment of the invention is required to be individually assessed for physiological activity by in vitro and in vivo screening to determine which compounds exhibit the desired pharmacological activity and which diseases would benefit from this activity.

Thus, the specification fails to provide sufficient support of the broad use of the compound of claim 1 for the treatment of inflammatory diseases mediated by antagonizing PI3K-gamma. As a result, necessitating one of skill to perform an exhaustive search for which diseases can actually be treated by what compounds of claim 1 in order to practice the claimed invention.

Genentech Inc. v. Novo Nordisk A/S (CA FC) 42 USPQ2d 1001 , states that “ a patent is not a hunting license. It is not a reward for search, but compensation for its successful conclusion” and “[p]atent protection is granted in return for an enabling

Art Unit: 1626

disclosure of an invention, not for vague intimations of general ideas that may or may not be workable”.

Therefore, in view of the Wands factors and *In re Fisher* (CCPA 1970) discussed above, to practice the claimed invention herein, a person of skill in the art would have to engage in undue experimentation to test which diseases can be treated by the compound encompassed in the instant claims, with no assurance of success.

This rejection can be overcome deleting the claims or by amending the claims to be limited to treating inflammation and inflammation caused by the specific inflammatory diseases in the claims.

### ***Conclusion***

Claims 1, 2, 6, 8, 9, 28, and 29 are rejected. Claims 1, 2, 6, 8, 9, 19, 24-29, 35, and 37 are objected to.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of



Art Unit: 1626

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph R. Kosack whose telephone number is (571)272-5575. The examiner can normally be reached on M-Th 6:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph McKane can be reached on (571)-272-0699. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Joseph R Kosack/  
Examiner, Art Unit 1626

/REI-TSANG SHIAO /  
Primary Examiner, Art Unit 1626